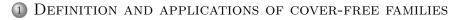
### COVER-FREE FAMILIES ON HYPERGRAPHS

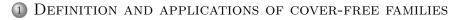
Lucia Moura, University of Ottawa lmoura@uottawa.ca (joint work with Thaís Bardini Idalino)

RICCOTA, Rijeka, July 6, 2023



#### 2 CFFs on hypergraphs with non-overlapping edges

#### **3** CFFs on hypergraphs with overlapping edges



#### 2 CFFs on hypergraphs with non-overlapping edges

#### 3 CFFs on hypergraphs with overlapping edges

### COVER-FREE FAMILY

A d-cover-free family is a set system where no set is covered by the union of d others.

DEFINITION (d-CFF(t, n))

Given  $d < t \le n$  positive integers, a *d*-cover-free family, denoted *d*-CFF(*t*, *n*), is a set system  $(X, \mathcal{B})$  with |X| = t and  $|\mathcal{B}| = n$  such that for any block  $B_{i_0}$  and any other *d* blocks  $B_{i_1}, \ldots, B_{i_d} \in \mathcal{B}$ , we have

$$|B_{i_0} \setminus \bigcup_{j=1}^d B_{i_j}| \ge 1.$$

Studied under different names:

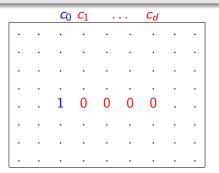
- Kautz and Singleton (1964) superimposed codes
- Erdös, Frankl and Füredi (1985) cover-free families
- Hwang and Sós (1987) d-complete designs for use in group testing
- Du and Hwang book on CGT: d-disjunct matrix

### COVER-FREE FAMILY

A d-cover-free family is a set system where no set is covered by the union of d others.

DEFINITION (d-CFF(t, n), ALTERNATIVE DEFINITION)

A d-CFF(t, n) is a  $t \times n$  binary array such that any d + 1 columns must contain a subarray that is a permutation matrix.

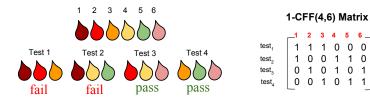


The goal is to construct arrays with minimum t for given n and d:

$$t(d,n) = \min\{t : \exists d - \operatorname{CFF}(t,n)\}$$

### COMBINATORIAL GROUP TESTING

- Identify d defective items from a set of n items pooled into t groups, where t < n.</li>
- The groups are tested, instead of all elements individually.
- Use a d-CFF(t, n):
  - the *n* columns represent elements
  - the *t* rows represent the groups to be tested.



item	1	2	3	4	5	6	7	8	9	10	11	12	
input	?	?	?	?	?	?	?	?	?	?	?	?	result:
t1	1	0	0	1	0	0	1	0	0	1	0	0	?
t2	1	0	0	0	1	0	0	1	0	0	1	0	?
t3	1	0	0	0	0	1	0	0	1	0	0	1	?
t4	0	1	0	1	0	0	0	0	1	0	1	0	?
t5	0	1	0	0	1	0	1	0	0	0	0	1	?
t6	0	1	0	0	0	1	0	1	0	1	0	0	?
t7	0	0	1	1	0	0	0	1	0	0	0	1	?
t8	0	0	1	0	1	0	0	0	1	1	0	0	?
t9	0	0	1	0	0	1	1	0	0	0	1	0	?
	1				De	fect	ive:	iter	ns ?				1

item	1	2	3	4	5	6	7	8	9	10	11	12	
input	?	?	?	?	?	?	?	?	?	?	?	?	result:
t1	1	0	0	1	0	0	1	0	0	1	0	0	0
t2	1	0	0	0	1	0	0	1	0	0	1	0	0
t3	1	0	0	0	0	1	0	0	1	0	0	1	1
t4	0	1	0	1	0	0	0	0	1	0	1	0	0
t5	0	1	0	0	1	0	1	0	0	0	0	1	1
t6	0	1	0	0	0	1	0	1	0	1	0	0	0
t7	0	0	1	1	0	0	0	1	0	0	0	1	1
t8	0	0	1	0	1	0	0	0	1	1	0	0	1
t9	0	0	1	0	0	1	1	0	0	0	1	0	1
	1				De	fect	ive:	iter	ns ?				1

item	1	2	3	4	5	6	7	8	9	10	11	12	
input	0	0	?	0	0	0	0	0	0	0	0	?	result:
t1	1	0	0	1	0	0	1	0	0	1	0	0	0
t2	1	0	0	0	1	0	0	1	0	0	1	0	0
t3	1	0	0	0	0	1	0	0	1	0	0	1	1
t4	0	1	0	1	0	0	0	0	1	0	1	0	0
t5	0	1	0	0	1	0	1	0	0	0	0	1	1
t6	0	1	0	0	0	1	0	1	0	1	0	0	0
t7	0	0	1	1	0	0	0	1	0	0	0	1	1
t8	0	0	1	0	1	0	0	0	1	1	0	0	1
t9	0	0	1	0	0	1	1	0	0	0	1	0	1
	1				De	fect	ive:	iter	ns ?				1

item	1	2	3	4	5	6	7	8	9	10	11	12	
input	0	0	1	0	0	0	0	0	0	0	0	1	result:
t1	1	0	0	1	0	0	1	0	0	1	0	0	0
t2	1	0	0	0	1	0	0	1	0	0	1	0	0
t3	1	0	0	0	0	1	0	0	1	0	0	1	1
t4	0	1	0	1	0	0	0	0	1	0	1	0	0
t5	0	1	0	0	1	0	1	0	0	0	0	1	1
t6	0	1	0	0	0	1	0	1	0	1	0	0	0
t7	0	0	1	1	0	0	0	1	0	0	0	1	1
t8	0	0	1	0	1	0	0	0	1	1	0	0	1
t9	0	0	1	0	0	1	1	0	0	0	1	0	1
	1			De	fect	ive:	iten	ns <mark>3</mark>	and	12			1

### CFF SAMPLE HIGHLIGHT OF KNOWN RESULTS

#### DEFINITION (SAME AS BEFORE)

A d-cover-free family is a set system where no set is covered by the union of d others.

1-CFF is equivalent to a Sperner system, thus by Sperner theorem:

$$t(1, n) = \min\{t : {t \choose \lfloor t/2 \rfloor} \ge n\} \sim c \log n$$

Examples of constructions for  $d \ge 2$  from designs and codes:

any s-(v, k, 1)-packing is a d-CFF(v, b) with d = \[ \frac{k-1}{s-1} \],
any BIBD(v, k, 1) gives a (k - 1)-CFF(v, b), where b = \( \frac{v}{k} \]/ \( \frac{k}{2} \).
any code (L, n, D)\_a with gives a d-CFF(qL, n) with d = \[ \frac{L-D}{L-D} \],

Asymptotics: There exists  $c_1$  and  $c_2$  such that

$$c_1 \frac{d^2}{\log d} \log n \le t(d, n) \le c_2 d^2 \log n$$

### **APPLICATION: GROUP TESTING**

#### News in focus



Nasal or throat swabs from several people can be combined in a single test.

## THE MATHEMATICAL STRATEGY THAT COULD TRANSFORM **CORONAVIRUS TESTING**

To save time and money, several countries are using a technique called group testing, which pools samples from many people.

#### By Smriti Mallapaty

is needed to get outbreaks of the new coronavirus under control. But. in Researchers are scrambling to devise faster, simpler tests (see page 506). Now, in several countries, officials have started using a strat-

are already using group testing.

cientists say that widespread testing testing, and scientists in several countries are negative are ruled out. But if a group tests posiexperimenting with the best method for doing tive, every sample in that group is then retested this during a pandemic. Their ideas largely individually, Researchers estimate the most any regions, there's a shortage of the come from a field of mathematics that has efficient group size – the one that uses the least chemicals needed to run diagnostics, been applied to a wide range of problems, from number of tests - on the basis of the prevalence detecting faulty Christmas-tree lights to esti- of the virus in the community. mating the prevalence of HIV in a population. "There has been a flurry of innovation in this Method 1 as part of their efforts to test the

mixed together and tested once (see 'Group There are many ways to conduct group testing, Method 1). Groups of samples that test

In May, officials in Wuhan, China, used

### GROUP TESTING APPLICATION

Determine a set of up to d defective items among n items, using t tests.



Group testing using a 1-CFF(4, 6)

For **non-adaptive** group testing, we can use a d-CFF(t, n).

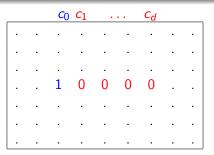
- Good when the probabilities of different items being defective are independent.
- CFFs on hypergraphs can be better when these probabilities are highly dependent (e.g. infectious diseases)

#### COVER-FREE FAMILY ON HYPERGRAPHS

"no column is covered by the union of columns that form an edge"

DEFINITION (CFF ON HYPERGRAPH)

A CFF on a hypergraph H, denoted H-CFF(t, n), is a  $t \times n$  binary matrix so that for every edge  $e = \{c_1, \ldots, c_d\}$  and any vertex  $c_0$  not on the edge, we must see the occurrence of row with  $[10 \ldots 0]$  in the submatrix defined by  $c_0, c_1, \ldots, c_d$ .



When this property holds for each subset of an edge we call it an

 $\overline{H}$ -CFF(t, n)

### DEFINITIONS OF CFFS ON HYPERGRAPHS

Let H = (V(H), E(H)) be a hypergraph with V(H) = n and rank $(H) = \max\{|E| : e \in E\} < n$ . A CFF on H is a  $t \times n$  binary array satisfying one of the following properties (depending on the CFF type being defined):

- *H*-CFF: for each set of columns corresponding to an edge *E* and each vertex v ∉ *E*, there must be a row with 0...0 on the columns of *E* and a 1 on the column of v.
- $\overline{H}$ -CFF: for each set of columns corresponding to  $S \subseteq E$ , for edge E, and each vertex  $v \notin S$ , there must be a row with 0...0 on columns of s and a 1 on the column of v.
- (H, r)-CFF: similar definition as H-CFF with union of up to r edges  $E_1, \ldots, E_r$  in place of E.
- $(\overline{H}, r)$ -CFF: similar definition as  $\overline{H}$ -CFF with union of up to r edges  $E_1, \ldots, E_r$  in place of E.

#### 1 Definition and applications of cover-free families

### 2 CFFs on hypergraphs with non-overlapping edges

#### 3 CFFs on hypergraphs with overlapping edges

#### COMBINATORIAL GROUP TESTING (NON-OVERLAPPING CASE)

ordinary *d*-CFF

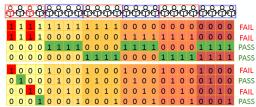


### $\overline{H}$ -CFF (disjoint edges)

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88 88 88

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### Non-overlapping edges, r = 1

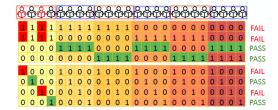
Let H = (V(H), E(H)) be a hypergraph such that any two edges are disjoint.

Let 
$$n = |V(G)|$$
,  $m = |E(G)|$ ,  $k = rank(H)$ .

THEOREM (IDALINO AND M. 2022)

Let 
$$t_1 = \min\{s : \binom{s}{|s/2|} \ge m\}$$
. Then,

- $\exists$  H-CFF( $t_1$ , n); in addition,  $t_1 \sim \Theta(\log m)$  is best possible;
- $\exists \overline{H}$ -CFF(t, n) with  $t = t_1 + k \sim \Theta(\log m + k)$ .



Examples:

- 900 students = 30 classes of 30 students: 37 tests.
- 1000 people = 250 households of 4 people: 14 tests.

### Non-overlapping edges, $r \ge 2$

Let H = (V(H), E(H)) be a hypergraph such that any two edges are disjoint. Let n = |V(G), m = |E(G)|, k = rank(H).

THEOREM (IDALINO AND M. 2022)

Let  $r \geq 2$ .

Suppose  $\exists r$ -CFF( $t_A$ , m),  $A_1$ , and  $\exists (r - 1)$ -CFF( $t_B$ , m),  $A_2$ . Then,

$$\exists (H, r) - CFF(t_A, n);$$

• 
$$\exists (\overline{H}, r)$$
-CFF $(t_A + kt_B, n)$ .

Proof:

Let 
$$B_1 = A_1 \otimes R_k$$
, where  $R_k = [1...1]$  with k columns.  
Let  $B_2 = A_2 \otimes I_k$ . In both cases, remove some columns for e with  $|e| < k$ .  
 $B_1$  is an  $(H, r)$ -CFF $(t_A, n)$ , and  
 $\begin{bmatrix} B_1 \\ B_2 \end{bmatrix}$  is an  $(\overline{H}, r)$ -CFF $(t_A + kt_B, n)$ .  $\Box$ 

### r = 2, H = 12 disjoint triples of vertices

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### NON-OVERLAPPING EDGES - ASYMPTOTICS

Define  $t(H, r) = \min\{t : \exists (H,r) - CFF(t, |V(H)|)\}.$ 

Let  $H_{m,k}$  = hypergraph with *m* disjoint edges of cardinality *k*.

Then, for  $r \geq 2$ ,

$$t(H_{m,k}, 1) = \Theta(\log m) t(\overline{H}_{m,k}, 1) = O(k + \log m) t(H_{m,k}, r) = O(((r + 1)^2 \log m) t(\overline{H}_{m,k}, r) = O(((r + 1)^2 + kr^2) \log m)$$

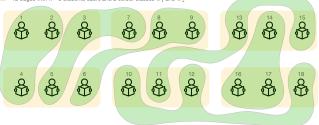
#### 1 Definition and applications of cover-free families

#### 2 CFFs on hypergraphs with non-overlapping edges

#### **3** CFFs on hypergraphs with overlapping edges

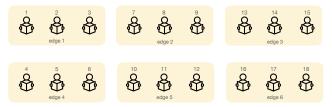
#### Total:

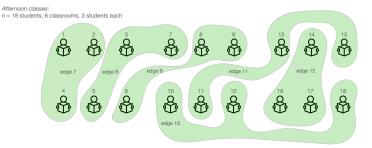
n = 18 vertices, m = 12 edges with k = 3 students each, and 2 colour classes  $\mathscr{C}_1$  and  $\mathscr{C}_2$ 



Morning classes:

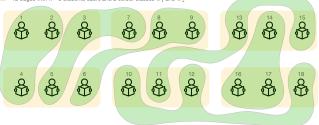
n = 18 students, 6 classrooms, 3 students each

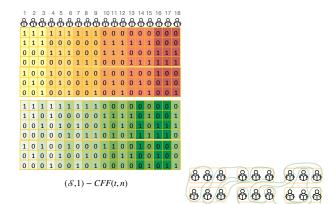


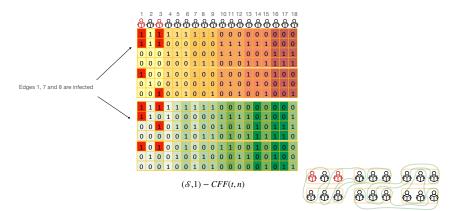


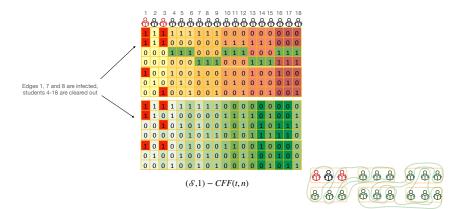
#### Total:

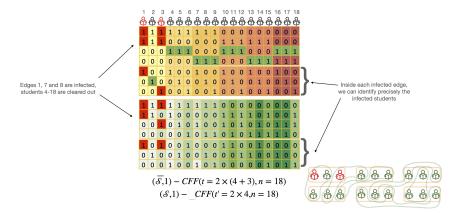
n = 18 vertices, m = 12 edges with k = 3 students each, and 2 colour classes  $\mathscr{C}_1$  and  $\mathscr{C}_2$ 











## Defect cover and (H, r)-CFFs

An edge is *defective* if it contains a defective vertex, it is *non-defective*, otherwise. A set of edges is a *defect cover* if the set of defective vertices is contained in the union of these edges; such a defect cover is *minimal* if no proper subset is a defect cover.

The number of defective edges may be much larger than the size of a defect cover!!!

The next proposition shows that a  $(\overline{H}, r)$ -CFF's ability to detect defectives only requires that r is an upper bound on the cardinality of a minimum defect cover.

### Proposition (Idalino and M. 2022)

Let H be a hypergraph and let r be an upper bound on the size of a minimal defect cover.

A simple algorithm using an  $(\overline{H}, r)$ -CFF determines the set of defective items.

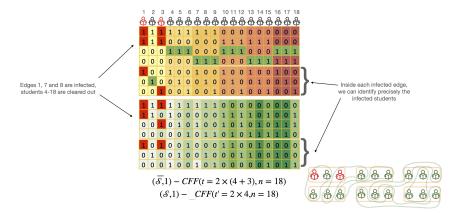
A simple algorithm using an (H, r)-CFF returns a defect cover of size at most r.

### Construction 1 ( $\exists$ defect cover of size 1)

An  $\ell$ -edge-colouring of a hypergraph H = (V(H), E(H)) is a mapping from E(H) to  $\{1, \ldots, \ell\}$  such that no vertex is incident to more than one edge mapping to the same colour.

Let  $H_1, H_2, \ldots, H_\ell$  be the hypergraphs on V(H) with edges consisting of each colour class.

THEOREM (IDALINO AND M. 2022; DEFECT COVER SIZE r = 1) For each  $i, 1 \le i \le \ell$ , let  $k_i = \operatorname{rank}(H_i) \max\{|e| : e \in E(H_i)\}$ , let  $f_i = |E(H_i)| + \delta_i$ , where  $\delta_i = 0$  if the  $E(H_i)$  spans [1, n] and  $\delta_i = 1$ , ow. For  $i = 1, 2, \ldots, \ell$ , use previous theorem to build  $(\overline{H_i}, 1)$ -CFF $(t_i + k_i, n)$ and  $(H_i, 1)$ -CFF $(t_i + k_i, n)$ , where  $t_i = \min\{s : \binom{s}{\lfloor s/2 \rfloor} \ge f_i\}$ . Then, the horiz. pasting of all  $(H_i, 1)$ -CFFs gives (H, 1)-CFF $(\sum_{i=1}^{\ell} t_i, n)$ ; and the horiz. pasting of all  $(\overline{H_i}, 1)$ -CFFs gives  $(\overline{H}, 1)$ -CFF $(\sum_{i=1}^{\ell} (t_i + k_i), n)$ .



### CONSTRUCTION 2 ( $\exists$ defect cover of size $r, r \geq 2$ )

A *strong edge-coloring* of a hypergraph H is an edge-coloring such that any two vertices belonging to distinct edges with the same colour are not adjacent.

Theorem (Idalino and M. 2022; defect cover size  $r \geq 2$ )

Let H be a hypergraph and let  $r \ge 2$  be an upper bound on the number of edges of a minimal defective cover.

Let  $H_1, H_2, \ldots, H_s$  be the hypergraphs on V(H) and sets of edges equal each colour class of an s-strong-edge-colouring of H.

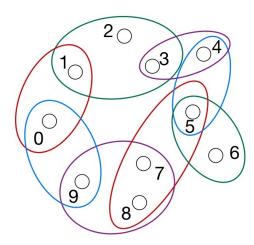
Let  $k_i = \max\{|S| : S \in E(H_i)\}$  and let  $m_i = |E(H_i)|$ . Then, there exists an  $(\overline{H}, r)$ -CFF(t, n) with

$$t \leq \sum_{i=1}^{s'} (t(r,m_i) + k_i \cdot t(r-1,m_i)).$$

$$t(H_{k,m,s},r) = O(s \cdot k \cdot r^2 \cdot \log m_{max})$$

31/35

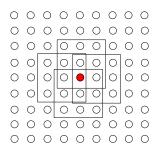
### OVERLAPPING EDGES, $r \ge 2$ and strong colouring



0 1 2 3 4 5 6 7 8 9 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 1 1 0	M1
$\begin{array}{c} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0$	N1
0 0 0 0 0 0 0 0 0 1 0 0 1 1 1 0 0 0 0 0	M2
0 1 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0	N2
00000001000 0001100000 0000000111	M3
0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0	N3
0000110000 1000000001	M4
0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 1 0 0 0 0	N4

## Consecutive Hypergraph (dimension 2)

Example 5. Consider a venue with 4356 people sitting in a square auditorium of 66 rows with 66 seats per row. Edges are sets of individuals sitting nearby. We consider edges of size 9 consisting of all possible contiguous  $3 \times 3$  squares. There is a strong colouring with  $\ell = 36$  colour classes of  $11 \times 11 = 121$  edges each: we need 4 colours to "tile" the room with edges and 9 such tilings to cover all edges. Thm. 5 construction vertically concatenates matrices  $M_1, \ldots, M_{36}, N_1, \ldots, N_{36}$ For each colour class i, we use a 2-CFF(25, 125) using the polynomial construction from Proposition 8 for q = 5 and k = 2 to build each  $M_i$ , totalling  $36 \times 25 = 900$  tests. For  $N_1, \ldots, N_{36}$ , each of which is supposed to be a 1-CFF(t, 121) multiplied by  $I_9$ , we use instead a single matrix N built as follows. Take A as a 1-CFF(12, 484) obtained from the Sperner construction and do  $N = A \otimes I_9$  with 108 rows. Carefully assign vertices in the grid to the columns of matrix N so that each  $3 \times 3$  square corresponds to a block of identity matrix  $I_9$ in a tiling fashion. This is enough to identify each non-defective vertex that lies inside one of the two defective edges, which is the purpose of N. Therefore with a total of 1008 tests we can screen 4356 people for any 18 infected people that appear within any 2 regions of size  $3 \times 3$ . See pictures for this example in [18].



#### Repeat the following pattern 9 times (total 36 colour classes):

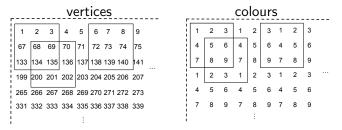
5																								
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	С
0	0	0	0	0	0	0	0	0	0	0	0	···	0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	С
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0
	_			-	-				_	_	-		-	-		_	-	_	_	_	_	_	-	-

(H, 2)-CFF(900, 4356) can be built from 36 copies of 2-CFF(25, 125)

## CONSECUTIVE HYPERGRAPH (DIMENSION 2), CONT'D

But we can improve the construction of  $\overline{H}$ -CFF, for the consecutive hypergraph.

Use a vertex colour with k = 9 colours:



An  $(\overline{H}, 2)$ -CFF(1008, 4356) can be built from:  $36^*((H, 2)$ -CFF(25, 125)) (900 rows) + 1-CFF(12, 484)  $\otimes I_9$  (108 rows)

In general, we reduce  $t(\overline{H}, r)$  from  $s \times (t(r, n/k) + k \times t(r-1, n/k))$  to  $s \times t(r, n/k) + k \times t(r-1, n/k)$ .

### ONGOING RESEARCH AND FUTURE WORK

More research is required for the overlapping case.

- Strong colouring can be prohibitively large, so we also need constructions that don't use strong colouring.
- New constructions can decrease the number of rows for special classes of hypergraphs, like we did for consecutive hypergraphs.
- We plan to extend the model with a limit of *d* defective items inside an edge.
- We are studying constructions for products of hypergraphs, and characterizations via directed-hypergraph homomorphisms (under study with Prangya Parida).

# Thank you !

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