

# Ternary self-dual codes, Hadamard matrices and related designs

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# Linear codes

A linear  $[n, k]$  code  $C$  over  $GF(q)$  is a  $k$ -subspace of  $GF(q)^n$ .

The *support*  $Sup(x)$  of a vector  $x$  is the set of indices of its nonzero components.

The Hamming *weight* of  $x \in GF(q)^n$  is  $w(x) = |Sup(x)|$ .

An  $[n, k, d]$  code  $C$  is an  $[n, k]$  code with minimum weight  $d$ .

The *dual* code  $C^\perp$  is an  $[n, n - k]$  code being the orthogonal complement of  $C$ .

An  $[n, k]$  code is *self-orthogonal* if  $C \subseteq C^\perp$ , and *self-dual* if  $C = C^\perp$ .

# Combinatorial designs

A  $t$ -( $v, k, \lambda$ ) design  $D$  with a *point* set  $X = \{x_i\}_{i=1}^v$  is a collection of  $k$ -subsets  $\mathcal{B} = \{B_j\}_{j=1}^b$  called *blocks*, such that every  $t$ -subset of  $X$  is contained in exactly  $\lambda$  blocks.

The **incidence matrix** of  $D$  is a  $b \times v$  (0, 1)-matrix  $A = (a_{i,j})$ , where  $a_{i,j} = 1$  if  $x_j \in B_i$  and  $a_{i,j} = 0$  otherwise.

If  $t = 2$  and  $v > k > 0$  then  $b \geq v$  (Fisher inequality).

A 2-( $v, k, \lambda$ ) design is **symmetric** if  $b = v$ , or equivalently, every two blocks share exactly  $\lambda$  points.

# Ternary extremal self-dual codes

## An upper bound (Mallows and Sloane 1973)

If  $C$  is a self-dual  $[n, n/2, d]$  ternary code then

$$d \leq 3\left[\frac{n}{12}\right] + 3.$$

## Definition

A ternary self-dual code of length  $n$  is **extremal** if it meets the upper bound:  $d = 3\left[\frac{n}{12}\right] + 3$ .

## Theorem (Assmus and Mattson 1969)

If  $C$  is an extremal ternary self-dual code of length  $n \equiv 0 \pmod{12}$  then the supports of all codewords of any nonzero weight  $w < n$  are the blocks of a 5-design.

# Extended QR codes and Pless symmetry codes

## Theorem (Assmus and Mattson 1969)

The ternary extended quadratic residue codes  $QR(n-1)^*$  of length  $n = 12, 24, 48$  and  $60$  are extremal and support 5-designs.

## Pless Symmetry Codes (Pless 1969)

- For every odd prime power  $q \equiv -1 \pmod{3}$  there is a ternary self-dual  $[2q+2, q+1]$  code  $C(q)$ .
- The symmetry codes of length  $n = 12, 24, 36, 48, 60$  ( $q = 5, 11, 17, 23, 29$ ) are extremal and support 5-designs.

## Note

The 5-designs obtained from the extremal codes of length  $24, 36, 48$  and  $60$ , were the only known 5-designs at that time, other than the 5-designs arising from the 5-transitive Mathieu groups  $M_{12}$  and  $M_{24}$ , which were known since the 1930's.

# The known extremal ternary self-dual codes of length $n \equiv 0 \pmod{12}$

- $n = 12$ :  $QR_{11}^*$  and  $C(5)$  are equivalent to the Golay code  $G_{12}$ .
- $n = 24$ : Extended  $QR(23)^*$  code, Pless symmetry code  $C(11)$ .
- $n = 36$ : Pless symmetry code  $C(17)$ .
- $n = 48$ :  $QR_{47}^*$ ,  $C(23)$ .
- $n = 60$ :  $QR_{59}^*$ ,  $C(29)$ ,  $NV$ .

The code  $NV$  is a group theoretical analogue of the Pless symmetry code  $C(29)$ , found by G. Nebe and D. Villar in 2013.

## Theorem

- Up to equivalence,  $G_{12}$  there is only extremal ternary self-dual code of length 12 (Pless 1968).
- There are exactly two inequivalent codes of length 24 (Leon, Pless, and Sloane 1981).

# Hadamard matrices and designs

A **Hadamard matrix** of order  $n$  is an  $n \times n$  matrix  $H$  of 1's and  $-1$ 's such that  $HH^T = nI$ , where  $I$  is the identity matrix.

A *necessary* condition: if  $n > 2$  then  $n = 4t$  for some integer  $t \geq 1$ .

## Theorem

If  $H$  is a Hadamard matrix of order  $n = 4t$  being a multiple of a prime  $p > 2$  then the row space of  $H$  is a self-orthogonal code over  $GF(p)$ .

An **automorphism** of a Hadamard matrix  $H$  is a pair of  $\{0, 1, -1\}$ -monomial matrices  $L, R$  such that  $LHR = H$ .

Two Hadamard matrices  $H_1, H_2$  of the same order are **equivalent** if there are monomial matrices  $L, R$  such that  $LH_1R = H_2$ .

A Hadamard matrix  $H$  of order  $n = 4t$  is **normalized** with respect to its  $i$ th row and  $j$ th column if all entries in row  $i$  and column  $j$  are equal to 1. Deleting the all-one  $i$ th row and  $j$ th column and replacing all  $-1$ 's with 0's gives the incidence matrix of a symmetric  $2$ - $(4t - 1, 2t - 1, t - 1)$  design  $D$  called a **Hadamard 2-design**.

If  $H$  is a Hadamard matrix of order  $n = 4t$  normalized with respect to a row, deleting the all-one row of  $H$  and the all  $-1$ -row of  $-H$  in

$$\begin{pmatrix} H \\ -H \end{pmatrix}$$

and replacing all  $-1$ 's with 0's gives the incidence matrix of a **Hadamard 3**- $(4t, 2t, t - 1)$  design  $D^*$ .

A Hadamard matrix  $H$  of order  $n = 4t$  is **regular** of degree  $k$  if every row of  $H$  contains exactly  $k + 1$ 's.

Then necessarily  $t = m^2$  for some integer  $m$ ,  $k = 2m^2 \pm m$ , and replacing all  $-1$ 's with zeros gives the incidence matrix of a symmetric  $2$ - $(4m^2, 2m^2 \pm t, m^2 \pm m)$  design (called a **Menon** design).

# Hadamard matrices of Paley type

Let  $q = p^r$ , where  $p$  is an odd prime, and let  $Q = \{q_{i,j}\}$  be the  $q \times q$  matrix with rows and columns labeled by the elements of  $GF(q)$  and defined as follows:

$q_{ij} = 0$  if  $i = j$ ,  $q_{ij} = +1$  if  $i - j$  is a nonzero square in  $GF(q)$ , and  $q_{ij} = -1$  if  $i - j$  is not a square in  $GF(q)$ .

Let  $\bar{1} = (1, \dots, 1)$  be the constant all-one vector with  $q$  components. Let  $S$  be the  $(q + 1) \times (q + 1)$  matrix defined by

$$S = \begin{bmatrix} 0 & \bar{1} \\ -\bar{1}^T & Q \end{bmatrix}.$$

The matrix  $S$  satisfies the equation

$$SS^T = qI_{q+1}.$$

A square  $n \times n$   $\{0, 1, -1\}$ -matrix with zero diagonal that satisfies the equation  $SS^T = (n - 1)I$  is called a **conference** matrix.

## Theorem (Paley 1933)

- 1 If  $q \equiv 3 \pmod{4}$  then  $H = I + S$  a Hadamard matrix of order  $n = q + 1$ .
- 2 If  $q \equiv 1 \pmod{4}$  then

$$H = \begin{bmatrix} S + I & S - I \\ S - I & -S - I \end{bmatrix}$$

is a Hadamard matrix of order  $n = 2q + 2$ .

These matrices are known as **Paley-Hadamard** matrices of **type I** and **type II** respectively.

# Hadamard matrices in ternary QR codes

## Theorem

- If  $q \equiv 3 \pmod{4}$  is a prime power, a quadratic residue (QR) code of length  $q$  is a code spanned by the incidence matrix  $A$  of a symmetric Hadamard  $2-(q, (q-1)/2, (q-3)/4)$  design associated with a **Paley-Hadamard matrix of type I**.
- The extended code is spanned by a matrix obtained by bordering  $A$  with the all-one column.
- If, in addition,  $q \equiv -1 \pmod{3}$ , that is,  $q = 12s + 11$ , the ternary extended QR code is self-dual that contains a **Hadamard matrix** having as rows codewords of weight  $q + 1$ , equivalent to a **Paley-Hadamard matrix of type I**.

# Symmetry codes and Hadamard matrices

Let  $q$  be an odd prime power such that  $q \equiv -1 \pmod{3}$ .

The Pless **symmetry code**  $C(q)$  is defined as a ternary self-dual code of length  $n = 2q + 2$  with a generator matrix  $G = (I, S)$ .

## Theorem (Pless 1972)

- The symmetry code  $C(q)$  contains a **Hadamard matrix**  $H$  of order  $n = 2q + 2$  whose rows are codewords of full weight  $2q + 2$ , after any entry equal to 2 is replaced by  $-1$ .
- $H$  is equivalent to a **Paley Hadamard matrix of type II**.

The matrix  $H$  is normalized with respect to a row if  $-1$  is not a square in  $GF(q)$ , and contains a row  $R$  with  $n - 1$  entries equal to 1 and one entry equal to  $-1$  whenever  $-1$  is a square in  $GF(q)$ . In the latter case, negating the column of  $H$  with entry  $-1$  in row  $R$  gives a **normalized Hadamard matrix** with respect to row  $R$ , whose row space is a code  $\mathbf{L}(q)$  which is equivalent to  $\mathbf{C}(q)$  and contains the all-one vector.

# The code $L(q)$

## Theorem

- The code  $L(q)$  contains the all-one vector  $\bar{1} = (1, \dots, 1)$ .
- The code  $L(q)$  contains a set of  $4q + 2$   $(0,1)$ -codewords of weight  $q + 1$  that form the incidence matrix of a Hadamard  $3$ - $(2q + 2, q + 1, (q - 1)/2)$  design  $D(q)$ .
- If  $q = 5, 11, 17, 23$ , the code  $L(q)$  contains **exactly**  $4q + 2$   $(0,1)$ -codewords of weight  $q + 1$ , and every such codeword is the incidence vector of a block of the Hadamard  $3$ -design  $D(q)$ .
- The code  $L(q)$  is spanned by the incidence matrix of  $D(q)$ .

# Hadamard matrices in ternary linear codes

## Lemma 1

A set  $M$  of  $n$  codewords of weight  $n$  in a ternary linear self-orthogonal code of length  $n \equiv 0 \pmod{12}$  is the set of rows of a Hadamard matrix of order  $n$  if and only if the Hamming distance between every two codewords from  $M$  is equal to  $n/2$ .

## Corollary

if  $H$  is a Hadamard matrix of order  $n$  having as rows codewords in a ternary linear code  $C$ , the code contains at least  $2^n$  distinct Hadamard matrices that are equivalent to  $H$ .

## Lemma 2

If  $H$  is a Hadamard matrix whose rows are codewords in a ternary linear code  $C$ , then the set rows of any **normalized** Hadamard matrix obtained from  $H$  belongs to a code which is monomially equivalent to  $C$ .

## Codewords of weight 36 in the code $L(17)$

The symmetry code  $C(17)$  and its equivalent code  $L(17)$  each contains exactly 888 codewords of weight 36.

The set  $W$  of all 888 codewords of  $L(17)$  of weight 36 spans the code and comprises of the following disjoint subsets:

- 36 rows of a Hadamard matrix  $H$  which is normalized with respect to a row  $\bar{1}$  and equivalent to a Paley-Hadamard matrix of type II;
- 36 rows of  $2H$  (or  $-H$ ) that include a constant row  $\bar{2} = (2, \dots, 2)$ ;
- a set  $T$  of 408 codewords having 15 components equal to 1 and 21 components equal to 2;
- a set  $2T$  of 408 codewords obtained by multiplying every codeword from  $T$  by 2.

**Note.** Adding  $\bar{2}$  to any  $(0, 1)$ -codeword of weight 18 gives a codeword of weight 36 with 18 1's and 18 2's; hence the code  $L(17)$  contains exactly 70  $(0, 1)$ -codewords of weight 18 obtained by adding the codeword  $\bar{2}$  to the rows of  $H$  and  $2H$ , and these 70  $(0, 1)$ -codewords form the incidence matrix of the Hadamard 3-(36, 18, 8) design  $D(17)$ .

# Enumeration of Hadamard matrices in $L(17)$

We can enumerate all **normalized Hadamard matrices** of order 36 with rows from the set  $W$  of codewords of full weight in  $L(17)$  by the following simple algorithm that employs Lemma 1 and Lemma 2:

- 1 Choose a codeword  $x \in W$ . If  $x = \bar{1}$  then go to Step 2, else go to Step 4.
- 2 Define a graph  $\Gamma$  with vertices the codewords  $y \in W$  such that  $y = (y_1, \dots, y_{36})$  contains exactly 18 components equal to 1, and  $y_1 = 1$ . Two vertices  $u, z$  of  $\Gamma$  are adjacent if they differ in 18 positions.
- 3 Enumerate and record all cliques of size 35 in  $\Gamma$ . Every such clique together with  $\bar{1}$  forms a normalized Hadamard matrix.
- 4 For  $i = 1$  to 36 do if  $x_i = -1$  then negate the  $i$ th column of  $W$ .
- 5 Go to Step 2.

We can enumerate the **regular Hadamard matrices** arising from  $L(17)$  as cliques of size 36 in a graph with vertices being the codewords in  $W$  containing exactly 15 entries equal to  $+1$ .

# Inequivalent normalizations of $W$

The set  $W$  of all codewords of weight 36 can be **normalized** with respect to every of the 888 codewords by negating columns of  $W$ .

An examination of the matrices  $W_i$  obtained by normalizing  $W$  with respect to a row  $i$  having weight structure  $(18, 18)$  shows that any such matrix has the same complete weight distribution as  $W$  and is given in Table 1.

| # $x$ | $(w_1(x), w_2(x))$ |
|-------|--------------------|
| 1     | (0,36)             |
| 408   | (15,21)            |
| 70    | (18,18)            |
| 408   | (21,15)            |
| 1     | (36,0)             |

**Table 1:** The complete weight distribution of  $W$

## Theorem

- 1 The code  $L(17)$  contains **two** equivalence classes of Hadamard matrices of order 36:
  - a Hadamard matrix  $H$  equivalent to a Paley-Hadamard matrix of type II, with full automorphism group of order **19584** =  $2^7 3^2 17$ ;
  - a second Hadamard matrix  $H'$  with full automorphism group of order **72**, being a **regular** Hadamard matrix such that the associated **symmetric 2-(36, 15, 6) design**  $D'$  has a **trivial** automorphism group.
- 2 The ternary code spanned by the incidence matrix of the 2-(36, 15, 6) design  $D'$  is an extremal ternary [36, 18, 12] code equivalent to the symmetry code  $C(17)$ .
- 3 The automorphism group of  $L(17)$  partitions the set of codewords of weight 36 into two orbits of length 72 and 816 respectively, the orbit of length 72 consisting of rows of  $H$  and  $-H$ .
- 4 The full automorphism group of the code  $L(17)$  coincides with the full automorphism group  $H$ .

An examination of the matrices  $W_i$  obtained by normalizing  $W$  with respect to any of the 408 rows having weight structure (15, 21) shows that any such matrix has a complete weight distribution given in Table 2, where  $W_{408}$  is obtained by normalizing  $W$  with respect to row no. 408.

| #x  | $(w_1(x), w_2(x))$ |
|-----|--------------------|
| 1   | (0,36)             |
| 93  | (12,24)            |
| 36  | (15,21)            |
| 628 | (18,18)            |
| 36  | (21,15)            |
| 93  | (24,12)            |
| 1   | (36,0)             |

**Table 2:** The complete weight distribution of  $W_{408}$

# A second regular Hadamard matrix associated with the Pless symmetry code

## Theorem

- (a) The 36 codewords of  $W_{408}$  with weight structure  $(15, 21)$  form a regular Hadamard matrix  $H$  which is monomially equivalent to the Paley-Hadamard matrix of type II.
- (b) The symmetric  $2$ -( $36, 15, 6$ ) design  $D$  associated with  $H$  has a full automorphism group of order 24.
- (c) The incidence matrix of  $D$  has 3-rank 18, and its linear span over  $GF(3)$  is a code equivalent to the Pless symmetry code  $C(17)$ .

## Note.

Every row of the regular Hadamard matrix  $H$  from part (b) of the theorem contains 15 entries equal to 1 and 21 entries equal to  $-1$ . A  $(0, 1)$ -incidence matrix  $A$  of the associated symmetric  $2$ -( $36, 15, 6$ ) design  $D$  is obtained by adding the all-one codeword  $\bar{1}$  to every row of  $H$ , followed by a multiplication of all rows by 2 (mod 3). Hence, the ternary code spanned by the rows of  $H$  contains also the rows of  $A$ .

# Automorphisms of ternary self-dual [36, 18, 12] codes

## Theorem (Huffman 1992; Eisenbarth and Nebe 2020)

- Up to equivalence, the only ternary self-dual [36,18,12] code with an automorphism of an **odd** prime order is the Pless symmetry code  $C(17)$ .
- A ternary self-dual [36, 18, 12] code is either equivalent to the Pless symmetry code  $C(17)$  or its full automorphism group is a subgroup of the cyclic group of order 8.

These results and the fact that the Pless symmetry code is spanned by the incidence matrices of symmetric 2-(36, 15, 6) designs, including one having a trivial full automorphism group, motivated us to study symmetric 2-(36, 15, 6) designs with an automorphism of order 2 (or an **involution**) and the related ternary codes.

## 2-(36,15,6) designs with an involution

The first step in the process of constructing a design with a prescribed automorphism group is to find all admissible orbit **orbit matrices**.

Let  $\mathcal{D} = (\mathcal{P}, \mathcal{B})$  be a  $2-(v, k, \lambda)$  design and  $G \leq \text{Aut}(\mathcal{D})$ .

We denote by  $\mathcal{P}_1, \dots, \mathcal{P}_m$  the  $G$ -orbits of points, and by  $\mathcal{B}_1, \dots, \mathcal{B}_n$  the  $G$ -orbits of blocks. Let  $|\mathcal{P}_i| = \nu_i$ ,  $|\mathcal{B}_j| = \beta_j$ ,  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ .

The matrix  $M = \{m_{ij}\}$ , where  $m_{ij}$  is the number of blocks from  $j$ th orbit of blocks that contain a point from the  $i$ th orbit of points, is called an **orbit matrix** of  $\mathcal{D}$  with respect to the group  $G$ . The entries of  $M$  satisfy the following equations:

$$\sum_{j=1}^n m_{ij} = r, \quad \sum_{j=1}^n \frac{\nu_t}{\beta_j} m_{sj} m_{tj} = \lambda \nu_t + \delta_{st}(r - \lambda). \quad (1)$$

After finding all matrices that satisfy the equations (1), the next step of the construction process involves the expansion of every admissible orbit matrix to an incidence matrix of a  $2-(v, k, \lambda)$  design.

In order to determine the possible orbit lengths distribution, we use the following upper and lower bounds on the number of fixed points.

### Theorem (Lander 1983)

- Suppose that  $\sigma$  is a nontrivial automorphism of a symmetric  $2-(v, k, \lambda)$  design that fixes  $f$  points. Then

$$f \leq v - 2(k - \lambda) \quad \text{and} \quad f \leq \left( \frac{\lambda}{k - \sqrt{k - \lambda}} \right) v.$$

Equality holds if  $\sigma$  is an involution and every non-fixed block contains exactly  $\lambda$  fixed points.

- If  $\sigma$  is an involution fixing  $f \neq 0$  points then

$$f \geq \begin{cases} 1 + \frac{k}{\lambda}, & \text{if } k \text{ and } \lambda \text{ are both even,} \\ 1 + \frac{k-1}{\lambda}, & \text{otherwise.} \end{cases}$$

It follows that if  $\sigma$  is an involution of a symmetric  $2-(36, 15, 6)$  then either  $f = 0$  or  $4 \leq f \leq 18$ . Our computations show that there are no orbit matrices for  $f \in \{6, 14, 18\}$ .

# Symmetric 2-(36, 15, 6) designs with an involution and their ternary codes

| # fixed pts | # orbit matrices | # designs | self-dual codes | max $d$ |
|-------------|------------------|-----------|-----------------|---------|
| 0           | 119,907          | 13,869    | none            | -       |
| 4           | 12,991           | 884,139   | +               | 12      |
| 8           | 670              | 498,592   | none            | -       |
| 10          | 56               | 186,369   | none            | -       |
| 12          | 311              | 3,719,232 | +               | 9       |
| 16          | 83               | 209,160   | none            | -       |

## 2-(36,15,6) designs with an involution and extremal self-dual codes

The classification of 2-(36,15,6) designs with an involution and 3-rank 18 implies the following.

### Theorem

- 1 Up to isomorphism, there exists exactly one symmetric 2-(36, 15, 6) design  $D$  that admits an automorphism of order 2 and its incidence matrix spans an extremal ternary self-dual code of length 36.
- 2 The full automorphism group  $G$  of  $D$  is of order 24, and  $G$  is isomorphic to the symmetric group  $S_4$ .
- 3 The regular Hadamard matrix associated with  $D$  is equivalent to the Paley-Hadamard matrix of type II.
- 4 The ternary code spanned by the incidence matrix of  $D$  is equivalent to the Pless symmetry code.

Thank You!

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